

1054 U.S. PTO

DRAFT - DO NOT CITE

PTO/SB/05 (03-01)  
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# UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No. <b>COS-01-007</b> First Inventor <b>Shawn Wiederin</b> Title <b>Systems And Methods For Monetary Transactions Between Wired And Wireless Devices</b> Express Mail Label No. _____	
<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>		Assistant Commissioner for Patents ADDRESS TO: <b>Box Patent Application Washington, DC 20231</b>	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i> 3. <input checked="" type="checkbox"/> Specification <b>[Total Pages 19]</b> <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> 4. <input checked="" type="checkbox"/> Drawing(s) <b>{ 35 U.S.C. 113 } [ Total Sheets 03 ]</b> 5. Oath or Declaration <b>{ Total Pages 02 }</b> <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))  <i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>            Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</li> </ul> </li> </ul> 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul>	
<b>ACCOMPANYING APPLICATION PARTS</b>			
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of <i>(when there is an assignee)</i> Attorney 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: _____			
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) Prior application information: _____ Examiner: _____ of prior application No.: _____ / _____ Group / Art Unit: _____			
<b>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</b>			
<b>19. CORRESPONDENCE ADDRESS</b>			
<input checked="" type="checkbox"/> Customer Number or Bar Code Label <small>(Insert Customer No. or Attach bar code label here)</small>		25597 <small>or</small> <input checked="" type="checkbox"/> Correspondence address below	
Name <b>WORLDCOM, Inc.</b> Address <b>Technology Law Department 1133 19<sup>th</sup> Street, NW</b> City <b>Washington</b> State <b>DC</b> Zip Code <b>20036</b> Country <b>United States</b> Telephone <b>(202) 736-6604</b> Fax <b>(202) 736-6382</b>			
Name (Print/Type) <b>Jason H. Vick</b>		Registration No. (Attorney/Agent) <b>45,285</b>	
Signature		Date <b>September 12, 2001</b>	

# FEE TRANSMITTAL FOR FY 2001

*Patent fees are subject to annual revision.*

**TOTAL AMOUNT OF PAYMENT** **\$1,208.00**

<i>Complete if Known</i>	
Application Number	New Application
Filing Date	September 12, 2001
First Named Inventor	Shawn Wiederin
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	<b>COS-01-007</b>

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-2380**

Deposit Account Name **Nixon Peabody LLP**

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status. See 37 CFR 1.27

## 2. Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	<b>710.00</b>
106	320	206 160 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	

**SUBTOTAL (1)** **\$710.00**

### 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	<b>41</b>	-20** = <b>21</b>	X <b>18.00</b> = <b>378.00</b>
Independent Claims	<b>4</b>	-3** = <b>1</b>	X <b>80.00</b> = <b>80.00</b>
Multiple Dependent			=

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

**\$458.00**

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

3. ADDITIONAL FEES	Fee Description	Fee Paid
105	130 205 65 Surcharge - late filing fee or oath	
127	50 227 25 Surcharge - late provisional filing fee or cover sheet	
139	130 139 130 Non-English transaction	
147	2,520 147 2,520 For filing a request for <i>ex parte</i> reexamination	
112	920* 112 920* Requesting publication of SIR prior to Examiner action	
113	1,840* 113 1,840* Requesting publication of SIR after Examiner action	
115	110 215 55 Extension for reply within first month	
116	390 216 195 Extension for reply within second month	
117	890 217 445 Extension for reply within third month	
118	1,390 218 695 Extension for reply within fourth month	
128	1,890 228 945 Extension for reply within fifth month	
119	310 219 155 Notice of Appeal	
120	310 220 155 Filing a brief in support of an appeal	
121	270 221 135 Request for oral hearing	
138	1,510 138 1,510 Petition to institute a public use proceeding	
140	110 240 55 Petition to revive - unavoidable	
141	1,240 241 620 Petition to revive - unintentional	
142	1,240 242 620 Utility issue fee (or reissue)	
143	440 243 220 Design issue fee	
144	600 244 300 Plant issue fee	
122	130 122 130 Petitions to the Commissioner	
123	50 123 50 Processing fee under 37 CR 1 17(q)	
126	180 126 180 Submission of Information Disclosure Stmt	
581	40 581 40 Recording each patent assignment per property (times number of properties)	<b>40.00</b>
146	710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710 249 355 For each additional invention to be examined (37 CFR § 1.29(b))	
179	710 279 355 Request for Continued Examination (RCE)	
169	900 169 900 Request for expedited examination of a design application	
Other fee (specify) _____		
* Reduced by Basic Filing Fee Paid		<b>SUBTOTAL (3)</b> <b>\$40.00</b>
CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on _____		
Name _____		

## SUBMITTED BY

*Complete (if applicable)*

Name ( <i>Print/Type</i> )	Jason H. Vick	Registration No. ( <i>Attorney/Agent</i> )	45,285	Telephone	703-790-9110
Signature				Date	September 12, 2001